

1059 U.S. PTO  
03/28/01

03-30-01

A

Please type a plus sign (+) inside this box --> ☐

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	P313599
	First Inventor or Application Identifier	Mark S Paxton
	Title	METHOD AND APPARATUS FOR RESERVING A PLACE IN A LINE
	Express Mail Label No.	EL 741000790 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington DC 20211	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB117) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) * Small Entity 13. <input checked="" type="checkbox"/> Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Transmittal Letter w/ Express Cert. of Mailing	
2. <input checked="" type="checkbox"/> Specification [Total Pages 36] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12]			
4. Oath or Declaration [Total Pages 48] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).			

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
 Nims Group I Art Unit

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 16, is incorporated by reference into the disclosure of this continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b> <input checked="" type="checkbox"/> Customer Number or Bar Code Label <b>22931</b> or <input type="checkbox"/> Correspondence address below (Insert Customer No or Attach bar code label here)					
Name	Michael F. Hughes				
	Hughes & Schacht P.L.L.C.				
Address	2801 Meridian St. Suite 1				
City	Bellingham	State	WA	Zip Code	98225
Country	U.S.	Telephone	(360) 647-1296	Fax	(360) 671-2489

Name (Print/Type)	Michael F. Hughes	Registration No. (Attorney/Agent)	41,084
Signature	<i>Michael F. Hughes</i>	Date	March 28, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28.</p>		<h3 style="margin: 0;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>March 28, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark S Paxton</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>P313599</td> </tr> </table>		Application Number		Filing Date	March 28, 2001	First Named Inventor	Mark S Paxton	Examiner Name		Group / Art Unit		Attorney Docket No.	P313599
Application Number															
Filing Date	March 28, 2001														
First Named Inventor	Mark S Paxton														
Examiner Name															
Group / Art Unit															
Attorney Docket No.	P313599														
TOTAL AMOUNT OF PAYMENT	\$ 355.00														

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																								
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to the account stated below.</p> <p>Deposit Account Number: 08-3260</p> <p>Deposit Account Name: </p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<h3>3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Large Entity Fee Code (\$)</th> <th style="width: 30%;">Small Entity Fee Code (\$)</th> <th style="width: 30%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116 380 216 190</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117 870 217 435</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118 1,360 218 680</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128 1,850 228 925</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119 300 219 150</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 300 220 150</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 260 221 130</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141 1,210 241 605</td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142 1,210 242 605</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 430 243 215</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144 580 244 290</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 240 126 240</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 760 246 380</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149 760 249 380</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="2" style="text-align: right;">Reduced by Basic Filing Fee Paid</td> <td colspan="2" style="text-align: right;">SUBTOTAL (3) (\$ 0.00)</td> </tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath		127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification		147 2,520 147 2,520		For filing a request for reexamination		112 920* 112 920*		Requesting publication of SIR prior to Examiner action		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action		115 110 215 55		Extension for reply within first month		116 380 216 190		Extension for reply within second month		117 870 217 435		Extension for reply within third month		118 1,360 218 680		Extension for reply within fourth month		128 1,850 228 925		Extension for reply within fifth month		119 300 219 150		Notice of Appeal		120 300 220 150		Filing a brief in support of an appeal		121 260 221 130		Request for oral hearing		138 1,510 138 1,510		Petition to institute a public use proceeding		140 110 240 55		Petition to revive - unavoidable		141 1,210 241 605		Petition to revive - unintentional		142 1,210 242 605		Utility issue fee (or reissue)		143 430 243 215		Design issue fee		144 580 244 290		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Petitions related to provisional applications		126 240 126 240		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)		146 760 246 380		Filing a submission after final rejection (37 CFR § 1.129(a))		149 760 249 380		For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 0.00)	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
105 130 205 65		Surcharge - late filing fee or oath																																																																																																																							
127 50 227 25		Surcharge - late provisional filing fee or cover sheet																																																																																																																							
139 130 139 130		Non-English specification																																																																																																																							
147 2,520 147 2,520		For filing a request for reexamination																																																																																																																							
112 920* 112 920*		Requesting publication of SIR prior to Examiner action																																																																																																																							
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action																																																																																																																							
115 110 215 55		Extension for reply within first month																																																																																																																							
116 380 216 190		Extension for reply within second month																																																																																																																							
117 870 217 435		Extension for reply within third month																																																																																																																							
118 1,360 218 680		Extension for reply within fourth month																																																																																																																							
128 1,850 228 925		Extension for reply within fifth month																																																																																																																							
119 300 219 150		Notice of Appeal																																																																																																																							
120 300 220 150		Filing a brief in support of an appeal																																																																																																																							
121 260 221 130		Request for oral hearing																																																																																																																							
138 1,510 138 1,510		Petition to institute a public use proceeding																																																																																																																							
140 110 240 55		Petition to revive - unavoidable																																																																																																																							
141 1,210 241 605		Petition to revive - unintentional																																																																																																																							
142 1,210 242 605		Utility issue fee (or reissue)																																																																																																																							
143 430 243 215		Design issue fee																																																																																																																							
144 580 244 290		Plant issue fee																																																																																																																							
122 130 122 130		Petitions to the Commissioner																																																																																																																							
123 50 123 50		Petitions related to provisional applications																																																																																																																							
126 240 126 240		Submission of Information Disclosure Stmt																																																																																																																							
581 40 581 40		Recording each patent assignment per property (times number of properties)																																																																																																																							
146 760 246 380		Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																							
149 760 249 380		For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																							
Other fee (specify) _____																																																																																																																									
Other fee (specify) _____																																																																																																																									
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 0.00)																																																																																																																							
<h3>1. BASIC FILING</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Large Entity Fee Code (\$)</th> <th style="width: 30%;">Small Entity Fee Code (\$)</th> <th style="width: 30%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 760 201 380</td><td></td><td>Utility filing fee</td><td>355.00</td></tr> <tr><td>106 310 206 155</td><td></td><td>Design filing fee</td><td></td></tr> <tr><td>107 480 207 240</td><td></td><td>Plant filing fee</td><td></td></tr> <tr><td>108 760 208 380</td><td></td><td>Reissue filing fee</td><td></td></tr> <tr><td>114 150 214 75</td><td></td><td>Provisional filing fee</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">\$ 355.00</td> </tr> </tbody> </table> <h3>2. EXTRA CLAIM FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Total Claims</th> <th style="width: 30%;">Extra Claims</th> <th style="width: 30%;">Fee from below</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>14</td> <td>-20 = 0</td> <td>x 9.00</td> <td>\$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 = 0</td> <td>x 40.00</td> <td>\$ 0.00</td> </tr> <tr> <td>Multiple Dependent</td> <td>none</td> <td></td> <td>\$ 0.00</td> </tr> </tbody> </table> <p><i>**or number previously paid, if greater, - For Reissues, see below</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Large Entity Fee Code (\$)</th> <th style="width: 30%;">Small Entity Fee Code (\$)</th> <th style="width: 30%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18 203 9</td><td></td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 78 202 39</td><td></td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 260 204 130</td><td></td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109 78 209 39</td><td></td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 18 210 9</td><td></td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: right;">\$ 0.00</td> </tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 760 201 380		Utility filing fee	355.00	106 310 206 155		Design filing fee		107 480 207 240		Plant filing fee		108 760 208 380		Reissue filing fee		114 150 214 75		Provisional filing fee		SUBTOTAL (1)			\$ 355.00	Total Claims	Extra Claims	Fee from below	Fee Paid	14	-20 = 0	x 9.00	\$ 0.00	Independent Claims	1 - 3 = 0	x 40.00	\$ 0.00	Multiple Dependent	none		\$ 0.00	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18 203 9		Claims in excess of 20		102 78 202 39		Independent claims in excess of 3		104 260 204 130		Multiple dependent claim, if not paid		109 78 209 39		** Reissue independent claims over original patent		110 18 210 9		** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			\$ 0.00																																																	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
101 760 201 380		Utility filing fee	355.00																																																																																																																						
106 310 206 155		Design filing fee																																																																																																																							
107 480 207 240		Plant filing fee																																																																																																																							
108 760 208 380		Reissue filing fee																																																																																																																							
114 150 214 75		Provisional filing fee																																																																																																																							
SUBTOTAL (1)			\$ 355.00																																																																																																																						
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																						
14	-20 = 0	x 9.00	\$ 0.00																																																																																																																						
Independent Claims	1 - 3 = 0	x 40.00	\$ 0.00																																																																																																																						
Multiple Dependent	none		\$ 0.00																																																																																																																						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
103 18 203 9		Claims in excess of 20																																																																																																																							
102 78 202 39		Independent claims in excess of 3																																																																																																																							
104 260 204 130		Multiple dependent claim, if not paid																																																																																																																							
109 78 209 39		** Reissue independent claims over original patent																																																																																																																							
110 18 210 9		** Reissue claims in excess of 20 and over original patent																																																																																																																							
SUBTOTAL (2)			\$ 0.00																																																																																																																						

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Michael F. Hughes	Registration No. (Attorney/Agent)	41,084
Signature		Telephone	(360)647-1296
		Date	March 28, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# HUGHES & SCHACHT, PLLC

A PROFESSIONAL LIMITED LIABILITY COMPANY

ROBERT B. HUGHES  
MICHAEL R. SCHACHT  
MICHAEL F. HUGHES

2801 MERIDIAN STREET, SUITE 1  
BELLINGHAM, WA 98225-2412  
(360) 647-1296  
1-888-647-1296  
FAX: (360) 671-2489

PATENT, TRADEMARK  
& COPYRIGHT LAW

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

March 28, 2001  
Docket No. P313599

Sir:

Transmitted herewith for filing is a Patent application:

Applicants: Mark S Paxton & Michael F. Hughes  
Title: METHOD AND APPARATUS FOR RESERVING A PLACE IN A LINE

**Application is claiming priority benefit under 35 U.S.C. §119(e) of U.S. Serial Number(s) and Filing Date(s):**

<u>Serial Number</u>	<u>Filing Date</u>
60/192,799	3/28/00
60/199,217	4/24/00
60/203,504	5/11/00

1. Enclosed are:

- Certificate of Express Mailing requesting treatment under 37 CFR 1.10, Express Mail Filing Date of March 28, 2001, Label No. EL 741000790 US;
- 1-page Utility Patent Application Transmittal;
- 1-page Fee Transmittal in duplicate form;
- Unexecuted Combined Declaration and Power of Attorney;
- IDS PTO-1449 w/ Cited References;
- Specification, claims and abstract (36 pages total: 31 pages of specification, 4 pages of claims, 1 page of abstract);
- 12 sheets of informal drawings;
- A check in the amount of \$ 355.00 for Filing Fee; and
- A stamped return receipt postcard.

**EXPRESS MAIL CERTIFICATE OF MAILING**  
37 C.F.R. §1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, Express Mail Post Office to Addressee, in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below.

**Express Mail No: EL 741000790 US**

Signature: \_\_\_\_\_

Print Name: Robin Fry

**DATE MAILED: March 28, 2001**